torney's Docket No. 6491.P060

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of:

Hossein Sedarat

Examiner:

Not Yet Assigned

Application No.: 10/789,553

Art Unit:

2631

Filed:

February 26, 2004

For:

MULTICARRIER COMMUNICATION

USING A TIME DOMAIN **EQUALIZING FILTER**

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and

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(Typed or printed name of person mailing correspondence)
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(Signature of person mailing correspondence)

Application No.: 10/789,553

- 1 -

Docket No.: 6491.P060

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is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 3-2, 2005

Thomás S. Ferrill Reg. No. 42,532

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

Application No.: 10/789,553

- 2 -

Docket No.: 6491.P060

FEE TRANSMITTAL FOR FY 2005

(FY 2005 Begins 10/01/2004. Fee changes made on 11/22/04 and 12/08/04 are included.)

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FEE CALCULATION (continued) 3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid 1051 130 2051 65 Surcharge - late filing fee or oath 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet 1053 130 1053 130 Non-English specification 1812 2,520 1812 2,520 For filing a request for ex parte reexamination 1813 8,800 1813 8,800 Request for inter parties reexamination 920* Requesting publication of SIR prior to Examiner action 1804 920* 1804 1,840* 1805 1,840* 1805 Requesting publication of SIR after Examiner action 1251 60 120 2251 Extension for reply within first month 1252 450 2252 225 Extension for reply within second month 1253 1,020 2253 510 Extension for reply within third month 1254 2254 795 Extension for reply within fourth month 1,590 2255 1255 2,160 1.080 Extension for reply within fifth month 1401 500 2401 250 **Notice of Appeal** 1402 500 2402 250 Filing a brief in support of an appeal 1403 1.000 2403 500 Request for oral hearing Petition to institute a public use proceeding 1451 1,510 1451 1,510 1452 500 250 Petition to revive - unavoidable 2452 1453 1,500 2453 750 Petition to revive - unintentional 1501 1,400 2501 700 Utility issue fee (or reissue) 400 Design issue fee 1502 800 2502 550 Plant issue fee 1503 1100 2503 1464 130 1460 130 Petitions to the Commissioner (CFR 1.17(h) Group III) 200 1460 200 Petitions to the Commissioner (CFR 1.17(g) Group II) 1463 400 400 Petitions to the Commissioner (CFR 1.17(f) Group I) 1462 1460 50 1807 50 Processing fee under 37 CFR 1.17(q) 1807 1806 180 1806 180 **Submission of Information Disclosure Stmt** 0 8021 40 8021 40 Recording each patent assignment per property (times number of properties) 1809 790 2809 395 For filing a submission after final rejection (see 37 CFR 1.129(a)) 1814 130 2814 65 Statutory Disclaimer 1810 790 2810 395 For each additional invention to be examined (see 37 CFR 1.129(b)) 1801 790 2801 395 Request for Continued Examination (RCE) 1802 900 900 1802 Request for expedited examination of a design application 1504 300 1504 300 Publication fee for early, voluntary, or normal pub. 1505 300 1505 300 Publication fee for republication 1803 130 1803 130 Request for voluntary publication or republication 1808 130 1808 130 Processing fee under 37 CFR 1.17(i) (except provisionals) 1454 Acceptance of unintentionally delayed claim for priority 1.370 1454 1.370 Other fee (specify) Other fee (specify) SUBTOTAL (4) \$ *Reduced by Basic Filing Fee Paid **SUBMITTED BY:** Typed or Printed Name: 3-2-05 Signature: Date: **Reg. Number:** 42,532 **Telephone Number:** 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Substitute	for Form 1449	/PTO			Complete i	if Known
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	FOREIGN PATENT DOCUMENTS									
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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INFO	RMA ⁻	TION D	ISCI	LOSURE	Application Number	10/789,553	
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STATEMENT BY APPLICANT (use as many sheets as necessary)					First Named Inventor:	Hossein Sedarat	
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					Examiner Name	Not Yet Assigned	
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		CHOW, P Data Tran vol. 43, no	nsmissi	on over Spectrally	ical Discrete Multitone Tra Shaped Channels," IEEE	ansceiver Loading Algorithm for ETrans. on Communications,	
				ERT F.H., et al., " <i>F</i> EEE, 1996, pp. 72	New Loading Algorithm 4-728.	for Discrete Multitone	
		LAMPE, L Power Lin			mance Evaluation of Non-	-Coherent Transmission over	
		HENKEL.	WERN	NER, et al., "Maxin	nizing the Channel Capac	ity of Multicarrier Transmission	

2000.

Examiner

Signature

by Suitable Adaptation of the Time-Domain Equalizer," IEEE, Vol. 48, no. 12, December

Date

Considered

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

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Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form call 1-800-PTO-9199 (1-800-PTO-9199) and select option 2

Substitute for Form 1449/PTO Complete if Known Application Number 10/789.553 INFORMATION DISCLOSURE Filing Date February 26, 2004 STATEMENT BY APPLICANT First Named Inventor: Hossein Sedarat (use as many sheets as necessary) Art Unit 2631 **Examiner Name** Not Yet Assigned 3 **Sheet** of **Attorney Docket Number** 006491.P060 NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the T² Cite Examiner No¹ item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Initials* number(s), publisher, city and/or country where published LASHKARIAN, NAVID, et al., "Fast Algorithm for Finite-Length MMSE Equalizers with Application to Discrete Multitone Systems," IEEE 1999, pp. 2753-2756. MELSA, PETER J.W., et al., "Impulse Response Shortening for Discrete Multitone Transceivers," IEEE Vol. 44, no. 12, December 1996, pp. 1662-1672. AL-DHAHIR, NAOFAL, et al., "Optimum Finite-Length Equalization for Multicarrier Transceivers," IEEE Vol. 44, No. 1, January 1996, pp. 56-64. LEKE, ACHANKENG, et al., "A Maximum Rate Loading Algorithm for Discrete Multitone Modulation Systems," IEEE 1997, pp. 1514-1518. BINGHAM, JOHN A.C., "Multicarrier Modulation for Data Transmission: An Idea Whose Time Has Come," IEEE, May 1990, pp. 5-14. ARSLAN, G., et al., "Equalization for Discrete Multitone Transceivers to Maximize Bit Rate," IEEE, Vol. 49, No. 12, December 2001, pp. 3123-3135. FARHANG-BOROUJENY, BEHROUZ, et al., "Design Methods for Time-Domain Equalizers

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Signature	Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

in DMT Transceivers," IEEE, Vol. 49, No. 3, March 2001, pp. 554-562.

48, pp. 23-27, Jan. 2000.

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WYGLINSKI, ALEXANDER M., et al., "An Efficient Bit Allocation for Multicarrier Modulation," IEEE Wireless Communication, Networking Conference, Atlanta, GA, March 2004, 4 pgs.

"Draft Standard," Network and Customer Installation Interfaces- Asymmetric Digital Subscriber Line (ADSL) Metallic Interface, Draft American National Standard for

Telecommunications, Alliance for Telecommunications Industry Solutions, T1.413-1998. KRONGOLD, BRIAN S., et al., "Computationally Efficient Optimal Power Allocation

Algorithms for Multicarrier Communication Systems," IEEE Trans. on Communications, vol.

BARRETO, ANDRE NOLL, et al., "Adaptive Bit Loading for Wireless OFDM Systems," IEEE International Symposium on Personal, Indoor and Mobile Radio Communications, October

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known					
Application Number	10/789,553				
Filing Date	February 26, 2004				
First Named Inventor:	Hossein Sedarat				
Art Unit	2631				
Examiner Name	Not Yet Assigned				
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Sheet	4		of	4	Attorney Docket Number	006401 0060	
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,		MILOSEVIC et al., "Simultaneous Multichannel Time Domain Equalizer Design Based on the Maximum Composite Shortening SNR". Dept. of Electrical and Computer Eng., The University of Texas, Austin Texas, Prior to filing date of current application, pp. 5 total.					
	ANA GARCIA ARMADA et al., "Multi-User Constant-Energy Bit Loading for M-PSK-Modulated Orthogonal Frequency Division Multiplexing", © 2002 IEEE, pp. 526-530.						
	MISAO FUKUDA et al., "A Line Terminating LSI Using Echo Cancelling Method for ISDN Subscriber Loop Transmission". IEEE Journal on Selected Areas in Communications, Vol. 6, No. 3, April 1988, pp. 476-483.						
	CHENG-SHING WU et al., "A Novel Cost-Effective Multi-Path Adaptive Interpolated FIR (IFIR)-Based Echo Canceller", © 2000 IEEE, pp. V-453-V-456.						
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